

# Award Termination Notice Change, Report Refresher, and Procedural Changes

July 16, 2019



# Donald Rumsfeld (on reports)

“Reports that say that something hasn't happened are always interesting to me, because as we know, there are known knowns; there are things we know we know. We also know there are known unknowns; that is to say we know there are some things we do not know. But there are also unknown unknowns – the ones we don't know we don't know.”



First, let's discuss a brief  
historical view (of Research) at  
the University of Rochester.



# The year was 1996

- Y2K fears ran wild
- ORACS didn't have a FAX machine
- My son Tyler was born
- UR Research Expenses were \$170M
- NSF and NIH introduced electronic proposal systems
- Paper sign off processes
- Billing and cash mgmt "issues"
- Multiple unrelated systems existed at UR
- "Computer technology" identified as THE MOST powerful and necessary tool to solve the majority of what ails the "Research Process" at the UR
- Excessive FSR reports past due (Nov '94 Mr. Greene goes to Washington)



# The year is 2019-2020

- ORACS no longer uses the FAX machine
- Tyler Graduates w Masters
- UR research expenses top \$400M
- Smart phones have more memory than FRS (pre-URFinancials)
- Monthly LOC cash draws completed within 10 days of month end (approx. \$25M)
- DHHS requires final LOC draw to be performed before 90 days
- DHHS adopted transparent expense reporting for each Award
- Dept users have immediate access to real time financial data
- FSR (Commons) report submission achieves 100% for first time in FY19



# New Systems Improve Reporting and Data Oversight

- HRMS (Peoplesoft)
- URFinancials (Workday)
- Budgeting (Axiom)
- IRB System (Click)
- Procure to Pay (Workday)
- Coming Soon
  - Clinical Trial Management System (Encore)
  - Pre-Award System (Huron)
  - Workday Student (Workday)



# Excerpt from Brown Presentation

- After Four Years on Workday
  - Not accessing financial reports
  - Leadership peripherally involved to “help”
  - Unaware of Award Balances and Commitments
  - Unable to see Expiring Awards
  - Lack of Transparency into Spend Rate
  - Complaints to Senior Management



# The Big Reports





# # 1!

- The single most important report in WD is the Monthly Transactions Printable - Advanced (NCL) URF0943(A and B)
  - Secrets to Success:
    - Know your FAO!
    - Know your data parameters:
      - What is your data set?
      - What is the period you want returned?
      - What specific values are you focused on?
    - Did the returned values match your expectations?
    - Do you need to change the parameters?
    - \*Revenue and F&A is included on this report\*



# # 2!

- Award Budgetary Balance Summary (NCL) URF0840
  - Secrets to Success:
    - This report runs at the AWD level, not the GR level
    - In order to get a return, there **MUST** be a Budget (see exceptions for CT's, old/new awards, etc.)
    - The period of this report is **NOT** based on Calendar Month/Yr or Fiscal Month/Yr.
    - This report is based on the **AWARD** budget periods.
    - This report can **NOT** be run for various AWD's at once, since they **ALL** have **DIFFERENT** budget periods
    - Transactions show in the Budget period, based on the **BUDGET DATE!** (Recorded in Feb but event was in Jan, budget date is Jan, shows in Report in Jan)



# # 3!

- Grant Statement of Activities (NCL) URF0391
  - Secrets to Success
    - This is a Financial Statement Report
    - Cost Reimbursable AWD's have  $REV=EXP$
    - Fixed AWD's recognize Rev at time of Billing (ALL CT's and AWD's w/term date prior to 7/1/14)



# Finally, the Big (and new) #4!

- Find Customer Invoices for Award (NCL)  
URF1027



# Reports Summarized

- 1 – Monthly Transactions Printable (NCL)  
URF0943
- 2 – Award Budgetary Balance Summary  
(NCL) URF0840
- 3 – Grant Statement of Activities (NCL)  
URF0391
- 4 - Find Customer Invoices for Award  
(NCL) URF1027



# Q&A and Big/New #4 Demo

